

# INGENIX®

## 276 Health Care Claim Status Request Educational Guide

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### Disclaimer

INGENIX is still under development stages and frequent changes within this document are expected. This documentation was prepared as a tool to assist providers and is not intended to grant rights or impose obligations. Although, every reasonable effort has been made to assure the accuracy of the information within these pages, the ultimate responsibility for the correct submission of EDI transactions lies with the submitter.

INGENIX employees, agents, and staff make no representation, warranty, or guarantee that this compilation of data is error-free and will bear no responsibility or liability for the results or consequences of the use of this guide. This document explains certain aspects of the 4010A1 and 5010 conversion specifications by INGENIX, but is not a legal document.

Note: The information documented was current as of February 2010.

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## Introduction

INGENIX is publishing this *Electronic Data Interchange (EDI) 276 Educational Guide* for the **ASC X12N Health Care Claim Status Request Transaction Set**.

The *Companion Guide* provides general information about EDI transmissions, such as delimiters, enveloping and related topics. This *INGENIX Educational Guide* will not duplicate these efforts, but instead will focus on the specific Segments and Elements which require additional attention when a conversion between the 4010A1 and 5010 formats is necessary.

The *Educational Guide* has been created in response to the HIPAA 5010 Mandate. This mandate, which goes into effect 1/1/2012, requires an interim transition period between conversion of the 4010A1 to the 5010 format. In order to ensure a smooth transition period, this guide provides the information necessary for a seamless conversion between the two versions by INGENIX and will improve the EDI transmissions during this period.

Please click on the link below to get to the *INGENIX Companion Guides*.

<http://www.ingenix.com/>

## Preferences and Conventions

1. Trading Partner Agreements specify the terms and conditions by which transactions are exchanged electronically with INGENIX. This *Educational Guide* may be an addendum to a new or existing Trading Partner Agreement.
2. 4010A1 and 5010 versions cannot exist in the same file content.
3. 4010A1 and 5010 *Companion Guide* information still applies.

## Description

### **Section 1- For X12 4010A1 Submitters ONLY**

This section is for submitters sending X12 4010A1 to follow when sending real-time eligibility requests to any Payers that are on the new 5010 format. Please make sure to only make the changes described below to requests that are going to 5010 Payers. If the requests are not going to a 5010 Payer, Ingenix will not be converting the files and they will stay in the 4010A1 format.

### **Section 2- For X12 5010 Submitters ONLY**

This section is for submitters sending X12 5010 to follow when sending requests to any Payers that are on the 4010A1 format. Please make sure to only make the changes described below to requests that are going to 4010A1 Payers. If the requests are not going to a 4010A1 Payer, Ingenix will not be converting the files and they will stay in the 5010 format.

## Section 1 - For X12 4010A1 Submitter ONLY

### *4010A1 to 5010 Conversion Specifications – 276 Claim Status Request*

The following table details Segments and data Elements that are different in 5010 compared to 4010A1, and will require INGENIX to do a conversion when receiving 4010A1 files and sending 5010 files to Payers.

<b>Loop</b>				
<b>Segment</b>	<b>Element</b>	<b>4010A1 Submitter Inbound value</b>	<b>5010 Payer Outbound value</b>	<b>Conversion Description</b>
<b>ISA –</b> Interchange Control Header	<b>ISA12 -</b> Version Number	00401	00501	Change from the 4010A1 version number to the 5010 version number.
<b>GS –</b> Functional Group Header	<b>GS08 –</b> Code Identifier	004010X093A1	005010X212	Change the Code Identifier from the 4010A1 value to the 5010 value
<b>ST –</b> Transaction Set Header	<b>ST03 -</b> Implementation Convention Reference		005010X212	New Element in 5010 - Default to 005010X279
<b>BHT –</b> Beginning of Hierarchical Transaction	<b>BHT03 –</b> Reference Identification	Not Used	Required	With this Element now required in 5010, INGENIX will send the Stomp internal identifier number.
<b>BHT –</b> Beginning of Hierarchical Transaction	<b>BHT05 -</b> Time	Not Used	Required	With this Element now required in 5010, it will be populated with the current system time.
<b>2100A – Payer Name Loop</b>				
<b>NM1 -</b> Payer Name	Loop Repeat Changes	Loop Repeat > 1	Loop Repeat 1	The first iteration of the Loop will be sent.
<b>NM1 -</b> Payer Name	<b>NM108 -</b> Identification Code Qualifier	21 AD FI NI PP	PI	The code values listed were deleted in 5010. If those code values are received, they will be mapped to PI.
<b>2100B – Information Receiver Name Loop</b>				
<b>NM1 -</b> Information Receiver Name	Loop Repeat Changes	Loop Repeat > 1	Loop Repeat 1	The first iteration of the Loop will be sent.

Loop				
Segment	Element	4010A1 Submitter Inbound value	5010 Payer Outbound value	Conversion Description
NM1 - Information Receiver Name	NM108 - Identification Code Qualifier	FI XX	46	The code values listed were deleted in 5010. If received will map the code values to 46.
<b>2100C – Provider Name Loop</b>				
NM1 - Provider Name	Loop Repeat Changes	Loop Repeat > 1	Loop Repeat 2	Will send only 2 iterations of this Loop. INGENIX will evaluate the Loops to send one that has an NM108 value of "XX". INGENIX is making an assumption that at least one of them will contain an NM108 value equal to "XX".
<b>2000D – Subscriber Level Loop</b>				
DMG - Subscriber Demographic Information	DMG03 - Gender Code	U		The code value U was deleted from 5010. If received, the Element will be dropped.
<b>2100D – Subscriber Name Loop</b>				
<b>2100D Loop</b>				There is a significant change in 5010 outlined in the 276/277 TR3 in 1.4.1.1 – Defining the "Patient" Participant.  If the 2100E NM108 is present, there will be a comparison between the 2100E NM109 value to the 2100D NM109 value. If they are different, the Dependent information will be moved to the Subscriber Loop. If it's the same, leave the Dependent Loop, and drop the Dependent NM108 and NM109 Elements.
NM1 - Subscriber Name	NM101 - Entity Identifier Code	QC	IL	The code value QC was deleted in 5010. If that value is received, it will be mapped to IL.
NM1 - Subscriber Name	NM108 - Identification Code Qualifier	ZZ	MI	The code value ZZ was deleted in 5010. If that value is received, it will be mapped to MI.
<b>2200D – Claim Status Tracking Loop</b>				
DTP - Claim Service Date	DTP01 - Date Time Qualifier	232	472	The code value 232 was deleted in 5010. If that value is received, it will be mapped to 472.
<b>2210D – Service Line Information Loop</b>				

Loop				
Segment	Element	4010A1 Submitter Inbound value	5010 Payer Outbound value	Conversion Description
SVC - Service Line Information	SVC01-1 - Product/Service ID Qualifier	CI, ID, N1, N2, N3, NH, ND, RB		The code values listed were deleted in 5010.  If any of the deleted code values are received, will not create any SVC Segments for this request.
SVC - Service Line Information	SVC07 – Units of Service Count	Situational	Required	This Element was changed from Situational to Required in 5010. A default value of 1 will be populated for 5010.
2000E – Dependent Level Loop				
DMG – Dependent Demographic Information	DMG03 - Gender Code	U		The code value U was deleted from 5010. If received, the Element will be dropped.
2200E – Claim Status Tracking Loop				
DTP - Claim Service Date	DTP01 - Date Time Qualifier	232	472	The code value 232 was deleted in 5010. If that value is received, it will be mapped to 472.
2210E – Service Line Information Loop				
SVC - Service Line Information	SVC01-1 - Product/Service ID Qualifier	CI, ID, N1, N2, N3, NH, ND, RB		The code values listed were deleted in 5010.  If any of the deleted code values are received, will not create any SVC Segments for this request.
SVC - Service Line Information	SVC07 – Units of Service Count	Situational	Required	This Element was changed from Situational to Required in 5010. A default value of 1 will be populated for 5010.

## Section 2 - For X12 5010 Submitter ONLY

### *5010 to 4010A1 Conversion Specifications – 276 Claim Status Request*

The following table details Segments and data Elements that require specific information for INGENIX to do a clear conversion when receiving 5010 files and sending 4010A1 files to Payers.

Loop				
Segment	Element	5010 Submitter Inbound value	4010A1 Payer Outbound value	Conversion Description
<b>ISA –</b> Interchange Control Header	<b>ISA12 -</b> Version Number	00501	00401	Change from the 5010 version number to the 4010A1 version number.
<b>GS –</b> Functional Group Header	<b>GS08 –</b> Code Identifier	005010X212	004010X093A1	Change the Code Identifier from the 5010 value to the 4010A1 value
<b>ST –</b> Transaction Set Header	<b>ST03 -</b> Implementation Convention Reference	005010X212		New Element in 5010 – Will drop in 4010A1.
<b>BHT –</b> Beginning of Hierarchical Transaction	<b>BHT03 –</b> Reference Identification	Required	Not Used	This is not used in 4010A1. Will drop the Element.
<b>BHT –</b> Beginning of Hierarchical Transaction	<b>BHT05 -</b> Time	Required	Not Used	This is not used in 4010A1. Will drop the Element.
2100B – Information Receiver Name Loop				
<b>NM1 -</b> Information Receiver Name	<b>NM103- Name</b> Last or organization Name	Situational Length: 60	Required Length: 35	If this value is populated in 5010, the value will be truncated to 35 characters. Since this Element is required in the 4010A1, if it is not populated in 5010, it will be populated with a default value of "Information Receiver".

Loop				
Segment	Element	5010 Submitter Inbound value	4010A1 Payer Outbound value	Conversion Description
				INGENIX highly recommends that this Element be populated in a 5010 request.
2100C – Provider Name Loop				
<b>NM1</b> - Provider Name	<b>NM103</b> - Name Last or organization Name	Situational Length: 60	Required Length: 35	If this value is populated in 5010, the value will be truncated to 35 characters. Since this Element is required in the 4010A1, if it is not populated in 5010, it will be populated with a default value of "Provider Name".  INGENIX highly recommends that this Element be populated in a 5010 request.
2000D – Subscriber Level Loop				
<b>DMG</b> - Subscriber Demographic Information	<b>DMG03</b> - Gender Code	Situational	Required U	The code value U was deleted in 5010. Because this Element is Required in 4010A1, will map a "U" to 4010A1 if blank in 5010.
2100D – Subscriber Name Loop				
<b>NM1</b> - Subscriber Name	<b>NM108</b> - Identification Code Qualifier	II	MI	The code value II was added to 5010. If that value is received, it will be mapped to MI.
2200D – Claim Status Tracking Loop				
<b>REF</b> - Group Number	New Segment - Qualifier			There were significant changes to the REF Segments in this Loop, both in information provided as well as Implementation Name reference.  INGENIX mapping strategy for the 4010A1 REF LU Segment : <ul style="list-style-type: none"> <li>• 5010 REF LU Segment will map to a 4010A1 REF LU Segment</li> <li>• If only a REF 6P exists in 5010, the REF 6P Segment will map to a 4010A1 REF LU Segment.</li> <li>• If there is a REF 6P and a REF LU Segment in the 5010, then only the REF LU Segment will map to 4010A1.</li> </ul>

Loop				
Segment	Element	5010 Submitter Inbound value	4010A1 Payer Outbound value	Conversion Description
AMT - Claim Submitted Charges	Situational Rule Change			This Element is Situational in 5010, and will be passed as received to a 4010A1 Information Source. The Information Source (Payer) the request is sent to may return a validation error based on the 4010A1 situational rule.  INGENIX highly recommends that this Element be populated.
DTP - Claim Service Date	DTP01 - Date Time Qualifier	472	232	The code value 472 was added in 5010. If that value is received, it will be mapped to 232.
DTP - Claim Service Date	DTP02 - Date Time Period Format Qualifier	D8	RD8	The code value qualifier D8 was added in 5010. If received, it will be mapped to RD8.
DTP - Claim Service Date	DTP03 - Claim Service Period	CCYYMMDD	CCYYMMDD-CCYYMMDD	The format of CCYYMMDD was added as a possible value in 5010. If a DTP02 value of D8 is received, will map DTP03 value to both start and end positions in the CCYYMMDD-CCYYMMDD format.
2210D – Service Line Information Loop				
SVC - Service Line Information	SVC01-1 - Product/Service ID Qualifier	ER WK		The code values listed were added in 5010.  If any of the added code values are received, will not create any SVC Segments for this request.
DTP - Service Line Date	DTP02 - Date Time Period Format Qualifier	D8	RD8	The code value qualifier D8 was added in 5010. If received, it will be mapped to RD8.
DTP - Service Line Date	DTP03 - Claim Service Period	CCYYMMDD	CCYYMMDD-CCYYMMDD	The format of CCYYMMDD was added as a possible value in 5010. If a DTP02 value of D8 is received, will map DTP03 value to both start and end positions in the CCYYMMDD-CCYYMMDD format.
2000E – Dependent Level Loop				
DMG – Dependent Demographic Information	DMG03 - Gender Code	Situational	Required  U	The code value U was deleted in 5010. Because this Element is Required in 4010A1, will map a “U” to 4010A1 if blank in 5010.
2200E – Claim Status Tracking Loop				
AMT - Claim Submitted Charges	Situational Rule Change			This Element is Situational in 5010, and will be passed as received to a 4010A1 Information Source. The Information Source (Payer) the request is sent to may return a validation error based on the 4010A1

Loop				
Segment	Element	5010 Submitter Inbound value	4010A1 Payer Outbound value	Conversion Description
				situational rule.  INGENIX highly recommends that this Element be populated.
DTP - Claim Service Date	DTP01 - Date Time Qualifier	472	232	The code value 472 was added in 5010. If that value is received, it will be mapped to 232.
DTP - Claim Service Date	DTP02 - Date Time Period Format Qualifier	D8	RD8	The code value qualifier D8 was added in 5010. If received, it will be mapped to RD8.
DTP - Claim Service Date	DTP03 - Claim Service Period	CCYYMMDD	CCYYMMDD-CCYYMMDD	The format of CCYYMMDD was added as a possible value in 5010. If a DTP02 value of D8 is received, will map DTP03 value to both start and end positions in the CCYYMMDD-CCYYMMDD format.
2210E – Service Line Information Loop				
SVC - Service Line Information	SVC01-1 - Product/Service ID Qualifier	ER WK		The code values listed were added in 5010.  If either of the added code values is received, will not create any SVC Segments for this request.
DTP - Service Line Date	DTP02 - Date Time Period Format Qualifier	D8	RD8	The code value qualifier D8 was added in 5010. If received, it will be mapped to RD8.
DTP - Service Line Date	DTP03 - Claim Service Period	CCYYMMDD	CCYYMMDD-CCYYMMDD	The format of CCYYMMDD was added as a possible value in 5010. If a DTP02 value of D8 is received, will map DTP03 value to both start and end positions in the CCYYMMDD-CCYYMMDD format.

## Change Log

Version	Description	Author	Date
1.0	Initial Release	Dean Brehm	03-2010
1.1	Content Modifications	Dean Brehm	05-26-2010